

UTILITY PATENT  
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

010866

Total Pages

First Named Inventor or Application Identifier

**Hidetada FUKUSHIMA, Hisashi MORITOKI, Tomio FUCHIWAKI,  
Iwaharu KAWADA, Nobuyuki ARASHIMA, Masatomo WATANABE,  
Yuji KUSAYANAGI, Masaru KAKIHARA and Osamu YAMAZAKI**

Express Mail Label No.

Check Box, if applicable [ ] Duplicate

APPLICATION ELEMENTS FOR:  
SYSTEM, METHOD, COMPUTER  
PROGRAM, AND RECORDING MEDIUM  
FOR MACHINE-MANAGEMENT

ADDRESS TO:

Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form) (The filing fee is deferred).

2. ☒ Specification Total Pages [52]

3. ☒ Drawing(s) (35 USC 113) Total Sheets [17]

☐ Oath or Declaration Total Pages [ ]  
**NOT attached; deferred under P.L. 97-247.**

☐ Newly executed (original)

☐ Copy from prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed).

i. ☐ Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet and document(s)) to: **Komatsu Ltd., Tokyo Japan**  
**Please publish the assignee data with the application.**

9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) [ ] Power of Attorney

10/09/01  
U.S. PAT. & T.M. OFFICE  
09/000490  
10/09/01

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PAGE 2 OF 3

10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement ☒ Copies of IDS Citations(PTO-1449 & 2 Ref.)

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority ☒ [2] Certified copies of Priority Documents are enclosed.

a. Priority of Japanese application No. 2000-209871 filed on July 11, 2000 is claimed under 35 USC 119.

b. Priority of Japanese application No. 2000-209872 filed on July 11, 2000 is claimed under 35 USC 119.

16. ☐ Other \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/\_\_\_\_

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic . . Fee
The filing fee is calculated below				\$710.00
Total Claims	18 - 20		x \$18.00	
Independent Claims	6 - 3	3	x \$80.00	\$240.00
Multiple Dependent Claims			\$270.00	
			Basic Filing Fee	\$950.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				\$950.00

**XX NO FEE ENCLOSED. THE FILING FEE IS DEFERRED.**

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	010866
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	<b>Hidetada FUKUSHIMA, Hisashi MORITOKI, Tomio FUCHIWAKI, Iwaharu KAWADA, Nobuyuki ARASHIMA, Masatomo WATANABE, Yuji KUSAYANAGI, Masaru KAKIHARA and Osamu YAMAZAKI</b>	
	PAGE 3 OF 3	

☐ A check in the amount of \$\_\_\_\_\_ is enclosed to cover the filing fee of \$\_\_\_\_\_ and the assignment recordation fee of \$\_\_\_\_\_.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

☐ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18 **CORRESPONDENCE ADDRESS:**



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY	
Typed or Printed Name	Reg. No.
Mel R. Quintos	31,898
Signature	Date:
<i>Mel R. Quintos</i>	July 9, 2001

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